
Kroll (Ireland) Management Company Limited

Summary of Investor Rights

August 2021

Introduction

This is a summary of rights afforded to you as an investor whose name appears on the share/unit register of the relevant collective investment scheme(s) managed by Kroll (Ireland) Management Company Limited (the Company). Should you require any further information relating to the terms of your investment, please refer to the prospectus and the constitutive document of the relevant collective investment scheme.

Entitlement to Receive Certain Information Relating to Your Investment

A copy of the latest annual and, if available, semi-annual financial statements of the relevant collective investment scheme managed by the Company will be made available to you on request and free or charge by contacting the relevant investment manager and/or central administration agent appointed in respect of the collective investment scheme of which you are an investor.

The latest Net Asset Value (NAV) per Share for the relevant collective investment scheme is available from the relevant central administration agent during normal business hours.

A copy of the prospectus for the relevant collective investment scheme is available on the website of the investment manager. A paper copy is also available on request and free of charge by contacting IrishManco@Kroll.com.

For investors in alternative investment funds managed by the Company specific information such as AIFMD investor disclosure documentation, which may be part of the prospectus, and more ad hoc information on any additional rights granted to other investors in the same alternative investment fund, as applicable, may be obtained upon request. As an investor, your right of information shall be guaranteed and any material changes to certain information contained in the prospectus provided to you before you invested shall be notified to you in accordance with applicable regulatory requirements.

Entitlement to Redeem Your Investment

Investors are entitled to redeem their investment and receive the redemption proceeds relating to their shares/units within the timeframe and subject to such conditions as detailed in the prospectus and constitutive documents of the relevant collective investment scheme. Investors, to the extent they invested into the appropriate share/unit class(es) of the relevant collective investment scheme, may also be entitled to receive dividend distributions, within the timeframe

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Voting Rights and Related Matters

Save to the extent that an investor Shareholder has chosen to invest in shares/units which do not carry voting rights, an investor has the right to vote (whether in general meeting or, where so permitted under the relevant collective investment scheme's constitutive document, by way of a written resolution) on shareholder resolutions relating to the collective investment, relevant sub-fund or class thereof in accordance with the conditions set down in the prospectus of the relevant collective investment scheme.

Under applicable legislation, an investor holding not less than 10% of the voting rights in the collective investment scheme (or relevant Sub-Fund or Class as applicable) may request the directors of the collective investment scheme to convene an extraordinary general meeting of the collective investment scheme (or relevant Sub-Fund or Class as applicable) in accordance with the provisions of the relevant legislation.

Under applicable legislation, an investor present at a general meeting in person or by proxy representing not less than 10% of the voting rights in the collective investment scheme (or relevant Sub-Fund or Class as applicable) may demand that a resolution be determined by a poll instead of on a show of hands.

Entitlement to Make a Complaint

As an investor, you are entitled to file a complaint free of charge. Any such complaint must be handled by the Company or its delegates promptly and effectively.

Further information on the Company's complaints policy is available at:

<https://www.kroll.com/en/services/financial-services-compliance-and-regulation/management-company>.

Investor Rights Against the Relevant Collective Investment Scheme and Its Service Providers

As an investor, you have a right of action against the relevant collective investment scheme of which you are an investor for any breach of contract.

Investors do not have any direct contractual rights against any service provider appointed in respect of the relevant collective investment scheme because of the absence of a direct contractual relationship between investors and such service provider. Instead, the relevant collective investment scheme or the Company, as applicable, has a right of action to pursue a service provider in respect of an alleged wrongdoing committed against the relevant collective investment scheme or the Company by the relevant service provider.

An investor has a regulatory right of action to pursue the depositary which has been appointed by the relevant collective investment scheme in respect of (i) any loss of an asset held in the custody of such depositary or any of its delegates or (ii) of any other losses caused by such depositary's negligent or intentional failure to properly fulfil its obligations under applicable regulations provided that this does not lead to a duplication of redress or to unequal treatment of investors in the relevant collective investment scheme.

Representative Actions Under Irish Law

Currently under Irish law, there is no formal representative action procedure in place which facilitates collective redress by consumers in respect of infringements of EU or national law. This means that any action brought in an Irish court relating to an infringement of Irish or EU laws governing collective investment schemes and their managers must currently be litigated on an individual basis. A collective redress mechanism, as required under Directive (EU) 2020/1828, must be implemented in Ireland by 25 June 2023.

General

It should be noted that this summary addresses rights conferred on investors under the applicable legislation governing the operation of the relevant collective investment scheme(s) in which you are invested. You may also be afforded rights under other legislation or regulatory frameworks which are not addressed above, including for example, your rights as a data subject under Regulation 2016/679.