



Your Process. Our Technology.

# THE KROLL COMPLIANCE PORTAL

## AUTOMATED THIRD-PARTY DUE DILIGENCE

Designed to bring efficiency and consistency to your third-party compliance program.

FEATURES	
<p><b>WORKFLOW</b></p>	<p><b>Flexibility and Scalability</b></p> <ul style="list-style-type: none"> <li>Onboarding and renewal workflow automation</li> <li>Drag and drop workflow design</li> <li>Workflow activity monitoring</li> </ul>
<p><b>FIRST VIEW</b></p>	<p><b>Real-Time Feedback</b></p> <ul style="list-style-type: none"> <li>Risk screening powered by LexisNexis® WorldCompliance™ and Dun &amp; Bradstreet®</li> <li>Monitoring alert notifications</li> <li>False positive resolution</li> </ul>
<p><b>QUESTIONNAIRE</b></p>	<p><b>Customization and Localization</b></p> <ul style="list-style-type: none"> <li>Custom questionnaire and risk scoring model</li> <li>Local language translation</li> <li>Supporting document integration</li> </ul>
<p><b>DUE DILIGENCE REPORT</b></p>	<p><b>Integrated Due Diligence</b></p> <ul style="list-style-type: none"> <li>Automated enhanced due diligence requests based on risk score</li> <li>Secure cloud-based delivery for sensitive information</li> <li>Direct engagement with experienced research analysts</li> </ul>
<p><b>ANALYZE</b></p>	<p><b>Interactive Insight</b></p> <ul style="list-style-type: none"> <li>Data visualization</li> <li>Advanced filtering</li> <li>Configurable reporting</li> </ul>
<p><b>PROFILE</b></p>	<p><b>Centralized Data</b></p> <ul style="list-style-type: none"> <li>Audit log for third-party activity</li> <li>Custom fields for third-party details</li> <li>Document repository</li> </ul>

### WHY KROLL?

**Expertise**  
Kroll has been bringing the unknown to light for over 45 years.

**Quality and Consistency**  
We know missing a red flag is not an option. That's why each report undergoes over 40 individual quality checks before it is shared with a client.

**Global Coverage, Local Expertise**  
With over 70 offices in 28 countries around the world, we bring a cultural intelligence to the table that is unsurpassed in market.

## EXTENDING THE REACH OF YOUR TEAM

Execute a risk-based approach to managing your third parties with:

- **Customizable questionnaires.** Collect the information you need and quickly process high volumes.
- **Risk scoring.** Generate risk scores based on your filtering guidelines and trigger actions based on risk thresholds.
- **Screening, monitoring and due diligence.** Automatically screen, monitor and conduct additional levels of due diligence based on perceived risk.
- **Reduced false-positives.** Kroll analysts review false-positive results on initial screens to deliver the information that matters to you.
- **Tracking and auditing.** Powerful reporting and audit capabilities.

*Effective compliance programs are tailored to a company's specific business and to the risks associated with that business. They are dynamic and evolve as the business and the markets change.*

- Resource Guide to the U.S. Foreign Corrupt Practices Act, U.S. Department of Justice / U.S. Securities and Exchange Commission

## TAILORED TO YOUR NEEDS

Customize the tool to facilitate your unique decision-making processes.

- **Advanced user permissions.** Control access at different levels within your organization.
- **Intuitive interface.** Enables both internal users and external third parties to easily navigate the system.
- **Mobile ready.** The responsive design optimizes usability across devices.

- **Cloud-based platform.** Gives you the flexibility, security and accessibility only a SaaS platform can deliver.
- **Custom workflows.** Automate and customize your workflow based on your unique business rules.

## INTELLIGENCE YOU CAN TRUST

The Kroll Compliance Portal provides you with access to Kroll's experts and the full spectrum of global compliance screening and due diligence solutions.

- **Full spectrum of screening and due diligence.** Choose according to your needs—from screening a high volume of vendors in a fully automated manner to drawing on the firsthand insights of our global on-the-ground team members.
- **Global reach and subject matter expertise.** Take advantage of our deep network of affiliates, subject matter experts and researchers in more than 150 countries.
- **Analyst-driven research.** Kroll analysts provide valuable insight into potential risks, allowing you to focus attention and resources in the right places.
- **Monitoring.** Powered by LexisNexis© WorldCompliance™, our monitoring solutions help ensure you have visibility into critical third-party events to keep your compliance profiles up-to-date.

## EXTENSIVE SECURITY FEATURES

The Kroll Compliance Portal contains a robust security architecture to help protect your valuable information. The suite of security features and certifications includes:

- TRUSTe Certified Privacy Seal
- ISO 27001 certification
- Independent evaluation in the form of SOC 1 (SSAE 16), SOC 2

## CONTACT

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### About Kroll

Kroll is the world's premier provider of services and digital products related to governance, risk and transparency. We work with clients across diverse sectors in the areas of valuation, expert services, investigations, cyber security, corporate finance, restructuring, legal and business solutions, data analytics and regulatory compliance. Our firm has nearly 5,000 professionals in 30 countries and territories around the world. For more information, visit [www.kroll.com](https://www.kroll.com).

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